3.4.8 Corporate governance guidelines and related bylaws: The Bank has not drafted corporate governance guidelines and related bylaws up to Mar. 2015.

3.4.9 Other material information useful to the understanding of corporate governance and its implementation: None.

Appendix: Training record of directors and supervisors in 2014

Period	Title	Name	Training Record		
			Class Name	Hours	Unit in Charge
Q1	Chairman	Toong-Min Chen	A high level forum titled "With the support from the financial industry, the culture and creativity industry is taking off".	7.5	The Bankers Association Of The Republic Of China
	Director	Richard Lee	Debates on protecting business secrets.	3	Taiwan Corporate Governance Association
Q2	Chairman	Toong-Min Chen	Advanced seminar for directors and supervisors (including independent directors) titled "Operational practices of independent directors".	3	Securities & Futures Institute
Q3	Independent Director	Tzen-Ping Su	Courses titled "Development and operations of the audit committee and remuneration committee".	3	Taiwan Corporate Governance Association
			Regulations and operational practices of the audit committee.	3	Securities & Futures Institute
	Independent Director	Mei-Yueh Ho	The 358th term of training courses for supervisors of trust enterprises (including on-job training).	3	Taiwan Academy of Banking and Finance(TABF)
			Colloquium on "Ethical Corporate Management Best Practice Principles for TWSE/GTSM Listed Companies".	3	Taiwan Stock Exchang
	Director	Richard Lee	Colloquium on "Ethical Corporate Management Best Practice Principles for TWSE/GTSM Listed Companies".	3	Taiwan Stock Exchang
			Corporate governance and risk management following the adoption of IFRSs by corporations.	3	Taiwan Securities Association
	Director	Chung-Hsin Liu	Seminar on effective guidance for corporate governance.	3	Taiwan Academy of Banking and Finance(TABF)
	Director	Tsung-kun Lee	The 366th term of training courses for supervisors of trust enterprises (including on-job training).	3	Taiwan Academy of Banking and Finance(TABF)
			Information session for equity transactions by insiders of publicly traded companies.	3	Taiwan Stock Exchang
			2014 Cross-strait Economic and Trade Negotiation & Relevant Comprehension Training Programs for Labor Directors.	7.5	Ministry of Labor
Q4	Chairman	Toong-Min Chen	Development program for key talent in the advance to Asia by the banking industry – "Investment Forum on Advancing Towards Asia".	3.5	Taiwan Academy of Banking and Finance(TABF)
	Independent Director	Mei-Yueh Ho	Legal responsibilities and risk control for directors and supervisors in misstatements of financial reports – with focus on case study.	3	Securities & Futures Institute
	Director	Tsung-kun Lee	The construction of a view on corporate governance and an effective board for new directors and supervisors.	3	Taiwan Corporate Governance Association
			Directors' and Supervisors' responsibilities for misstatements in information disclosure and financial reports.	3	

3.4.10 Implementation Status of the Internal Control System

A. Declaration Enforcement of the Internal Control System.

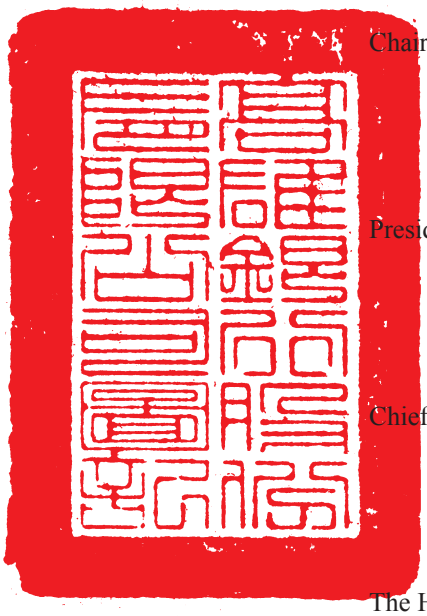
Bank of Kaohsiung
Declaration Enforcement of the Internal Control System
Attn：Financial Supervisory Commission

Mar. 26, 2015

We, the Undersigned, hereby on behalf of Bank of Kaohsiung Co., Ltd. declared that during the period beginning Jan. 1, 2014 until Dec. 31, 2014, we did faithfully build the internal control systems and enforce risk control in accordance with "Implementation Rules of Internal Audit and Internal Control System of Financial Holding Companies and Banking Industries". Further through detached and independent Audit Department, we conducted audit with audit reports duly submitted to the Board of Directors and Audit Committees on a periodic basis. We evaluated the effectiveness of its Internal Control System in accordance with the Guidelines for the Establishment of the Internal Control System by Public Companies (the Guidelines) set forth by the Securities and Futures Commission of the Financial Supervisory Commission. Through prudent assessment, all units of the Bank did faithfully enforce the internal control and legal compliance excepte those events listed on the attached table. The Statement of the Internal Control System will be a prominent feature of our annual report and prospectus, and will be released to the public. Should any statement herein involve forgery, concealment or any other illegality, Articles 20, 32, 171 and 174 of the "Security and Exchange Act" shall apply.

Declarants

Chairman



Chen Toong-min

President

Huang Moan-sheng

Chief Auditor

Lin Cheng-chen

The Head Office's Legal Compliance Head

(The content of the report is in conformity with the original declaration)

B. Independent Auditor's Report on Internal Control System.

12F, 21 Linshen 2nd Road,
Kaohsiung, Taiwan R. O. C.
TEL:(07)3312133
FAX:(07)3331710

Crowe Horwath (TW) CPAs
Member Crowe Horwath International

Bank of Kaohsiung Co., Ltd.

Independent Auditor's Report

Mar. 26, 2015

To: The Board of Directors of the Bank of Kaohsiung Co., Ltd.

We have audited the accompanying financial statements of Bank of Kaohsiung Co., Ltd. As of December 31, 2014 subject to generally accepted auditing standards. We have also examined the internal audit design and execution for business operation in FY 2014, the compliance with regulatory system, the appropriateness of allowance for credit loss, and the accuracy of statements and data filed with the competent authority. It is the responsibility of the Board of Directors to supervise the management in establishing and maintaining a proper Internal Control System, while our responsibility is to express opinions and issue a report on the Internal Control System based on our audits.

We conduct our audits subject to the "Implementation Rules of Internal Audit and Internal Control System of Financial Holding Companies and Banking Industries". that is published by the Financial Supervisory Commission. The Measures require that we exercise the necessary inspection procedure including evaluating the controlled environment of the bank, major business risk, the nature of accounting and managing records and the design of Internal Control System; also, plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. The audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements. We believe that our audits provide a reasonable basis for our opinion on the effectiveness of the Internal Control System.

Except for the potential improvements recommended for the findings in the report, in our opinion, based on our audits, the Internal Control System, the execution of regulatory requirements, the appropriateness of allowance for credit loss, and the accuracy of statements and data filed with the competent authority of the Bank of Kaohsiung are with a proper control mechanism installed and with an effective design and execution maintained as of December 31, 2014.

The audit report is issued to help the Board of Directors supervise the management of the Bank in improving internal control and for the reference of the bank component authority in extraordinary management.

Crowe Horwath (TW) CPAs

CPA：Shu-Man Tsai

Tsai Shu Man

CPA：Ling-Wen Huang

HUANG LING WEN

（The content of the report is in conformity with the audit report）

3.4.11 Penalty Received for Unlawful Practices Over the Past Two Years, and Corrective Action Taken Against Major Defects

A. Indictment by Prosecutors Against the Responsible Person or Staff Members because of a Crime Committed on Duty:

1. Former employee, Tseng ○○, who was suspected of misappropriating customers' deposits and was indicted by the prosecutor in accordance with Article 125.2 of the Banking Act. At this moment, the courts of first and second instance have found the defendant guilty of fraud and of forging instruments. The verdict for trial of the second instance is not yet fully certain.
2. Former employee, Chi ○○ , who had embezzled the deposits and fees of the customer for personal Purposes and was indicted by the prosecutor in accordance with Article 125.2 of the Banking Act. The court of the first instance has sentenced the defendant to two years of imprisonment pursuant to Article 125.2 of Banking Act, with suspension of four years. The final verdict is delivered.
3. A former employee, Huang○○ , who had used the name of relatives in vain for making loans and was indicted by the prosecutor in accordance with Article 125.2 of the Banking Act, that is now in the court proceedings.

B. Penalty for Violation of Acts: Former banking staff of the Bank, Huang ○○, Use the name of relatives in vain for making loans.

1. Penalty:
   Former banking staff Huang of the Bank made loan from the Bank by using the name of relatives, which is in violation of Article 45-1-(1) of the Banking Act. Financial Supervisory Commission issued an executive order on February 26, 2013 for a fine amounting to NT$5 million and the discharge of Huang from the Bank pursuant to Article 129-7 and Article 61-1-(1)-iii of the Banking Act.
2. Major defects:
   (1)Huang used the name of a relative for opening a current saving deposit account, which was a shortcoming in the review of opening new account.
   (2)Huang used forged documents to apply for consumer loans and a mortgage loan. The supervisor failed to properly cross-check the incumbency certification and income data of the borrower with the system of JCIS, and failed to conduct on-site inspection and appraisal, check the lien document, and register it in the computer system.
   (3)During credit verifying and credit granting operations, supervisors and banking staffs failed to properly keep the stamp, approval of loan and credit information check were conducted by the same person, missing credit files, the release entitlement documents, such as lien documents, were not approved by supervisor.
3. Improvement:
   (1) The Bank has responded to the shortcomings as cited, and reinforced the related internal control procedures. Summary is follows: (a) the addition of the "important notice of opening an account not over-the-counter" for controlling the opening of accounts outside the branches. (b) Revising the "Important Notice to the Processing of Consumer Loans", and the addition of "Important Notice to Processing Loans Secured by Real Properties" to strengthen the credit information and post-approval management. (c) Amending the "Account Managers Operation Procedure" in fortifying the segregation of duties for checks and balances, (d) Intensify the control of the E-loan system, and (e) Reinforce the procedure for the control of loan account files and collaterals kit, and reiterated the management for the control of stamps and the personal seals of the banking staffs.
   (2) The Bank has incorporated the status of the aforementioned corrective action into internal audit and self-inspection for continued monitoring

C.Penalty for Violation of the Article 61-1 Banking Law : The former clerk, Huang ○○, Use the name of relatives in vain for making loans. Please see Paragraph B.herein.

D.The Major Occasional Frauds, Contingencies (Fraud, Theft, Embezzlement, and Robbery, False Transaction, Forged Document and Marketable Securities, Kick Back, Natural Disaster Loss, Loss from External Factors, Hacker Attack, Data Theft, and Disclosure of Confidential Information and Customer Data and Such Major Incidents) or Security Accidents Resulting from the Failure to Practice Security Works, or the Incidents Which Resulted in Loss Over NT$50 Million Over the Past Two Years:

1. Former banking staff of the Bank, Huang ○○ for making loans. In 2013 and 2014 has been recognized loss.

Jan.1,2013 ~ Dec.31,2014 Unit：NT$ Thousand

No	Case Nature	corruption or unauthorized withdrawal	Up to 2013 and 2014 has been recognized loss.	
			Dec. 31, 2013	Dec. 31, 2014
1	The former clerk, Huang ○○, Use the name of relatives in vain for making loans. (fraud, fabricated transactions, forged documents)	51,514	25,994	25,994

2. Notes:

(1) The event that the former clerk, Huang ○○, used the name of relatives in vain for making loans was discovered in Sep. 2012; at the time, the total loans involved in the fraud amounted to NT$59.906 million. The Bank has recovered NT$8.811 million from Huang. There was still an outstanding amount of NT$51.514 million up to Dec. 31, 2014. The Bank has appealed to judicial procedure for claim.

(2) In this case, FSC has imposed a fine of NT$5 million. The amount in full has been recognized for loss in bad debts. As such, the total amount of NT$25.994 million has been recognized for bad debts up to Dec. 31, 2014. However, the actual loss from this incident depends on the insurance compensation and subsequent claim situation.

(3) The rest, Please see Paragraph B. herein.

E. Disclosure of Other Events as Required by the Financial Supervisory Commission, Executive Yuan：None.

3.4.12 Improtant Decisions Resolved in the Regular Shareholders' Meeting, Regular Board of Director's Meeting and Special Meeting as in FY 2014 and up to March 2015

A.Improtant Resolutions in the Regular Shareholders' Meeting and the Execution of the Resolutions Reached：

The Resolutions	The Execution of the Resolutions
1. Earnings distribution for FY 2013.	Earnings distribution for FY 2013, have been completed subject to the resolution.
2. Surplus, Capital surplus and Employee bonuses transfer capital NT$193,021,970 for FY 2013.	Industry in August 26, 2014 capital increase.
3. 12th election of directors	12th Directors industry in June 19, 2014 office.

B. Improtant Resolutions in the Regular Board of Director's Meeting and Extraordinary Meeting

Date	The Resolutions
Feb. 27, 2014	The bank sponsors the charity and public interest activities of Bank of Kaohsiung Charity Foundation and the Board resolve to donate NT$450,000 to the foundation.
Mar. 27, 2014	The Board approves the entity financial statements and the consolidated financial statements of the bank and its subsidiaries for Year 2013 (January 1, 2013 to December 31, 2013), along with the audit report planned to be provided by Crowe Horwarth (TW) CPAs.
Apr. 24, 2014	To shore up the bank's capital, the Board approves the increase of capital with retained earnings and employee bonus and additional paid-in capital for Year 2014.
May. 08, 2014	To implement corporate governance, improve the audit and oversight function, and improve the compliance of the management function, the Board approves the "Bylaws for the Organization of Bank of Kaohsiung Co., Ltd."
Aug. 27, 2014	Due to the gas explosion on August 1 in Kaohsiung City, and for the purpose of expressing our sympathy and coordinating with the measures of the city government to help victims, the Board approves a donation of NT$ 6 million to the city government of Kaohsiung.
Oct. 23, 2014	The Board approves the continued appointment of Crowe Horwarth (TW) CPAs to perform the "financial audit and tax certification" for year 2015 and the "internal control regulation review" for year 2014.

3.4.13 The Documented Disagreement or Written Statement of the Directors or Supervisors Against the Important Resolutions Reached by the Board of Directors in FY 2014 and up to Mar. 2015:

Date	The Important Resolutions	The Summary of Objection
None	None	None

3.4.14 Summarization of Resignation and Dismissal of Parties (Including Directors, President, Financial Supervisor, Accounting Supervisor and Internal Audit Supervisor) Related to the Bank in FY 2014 and up to Mar. 2015:

Position	Name	Date of Employment	Date of Dismissal	Reason for Resignation or Dismissal
None	None	None	None	None

3.5 Information Regarding Fee

Range of Information Regarding Fee

The Name of the Office of CPA	The Name of the CPA		Audit Period	Remark
Crowe Horwath (TW) CPAs	Shu-Man Tsai	Ling-Wen Huang	2014.1.1~2014.12.31	None

Unit：NT$ Thousand

Fee Iterm / Range of Amount	Audit Fee	Non-Audit Fee	Amount
under 2 million	None	95	95
2 million (included) ~ 4 million	2,250	None	2,250
4 million (included) ~ 6 million	None	None	None
6 million (included) ~ 8 million	None	None	None
8 million (included) ~ 10 million	None	None	None
over 10 million	None	None	None

Unit：NT$ Thousand

The Name of the Office of CPA	The Name of the CPA	Audit Fee	Non-Audit Fee					CPA Audit Period	Remark
			System Design	Business Registration	Human Resources	Others	Sub-Total		
Crowe Horwath (TW) CPAs	Shu-Man Tsai	2,250	0	0	0	95 (Note)	95	2014. 1.1~ 2014.12.31	None
	Ling-Wen Huang								

Note: The balance stated as "Non-Audit Fee – Others" at NT$95 thousand refers to the appointed CPA's certification fee of offsetting bad debt, and business tax deduction for certification fee, and capital increase audit fee.

3.6 Alternation of CPA

3.6.1 About the Former CPA

Alternated date	None			
Alternated reason	None			
Please specify whether the appointment is terminated or unaccepted by the appointer or CPA.	Situation \ Related parties		CPA	Appointer
	Voluntary termination of appointment		None	None
	No further acceptance (continuation) of appointment		None	None
Opinion and reason for any audit report other than unqualified opinion in the last two years	None			
Any disagreement with the Bank	Yes	—	Accounting principles or practices	
		—	Disclosure of financial statement	
		—	Scope or steps of audit	
		—	Others	
	None	V		
	Remarks	—		
Other disclosures	None			

3.6.2 About the Successive CPA

The name of the office of CPA	None
The name of the CPA	None
Date of appointment	None
Before the successive CPA is appointed, if it inquired such accountant about the accounting treatment method of specific transactions or the applicable accounting principles and his / her possible opinion on the financial report, it shall disclose the matters it inquired about and the result thereof	None
The successive CPA's written opinion in connection with the discrepancy of opinion between it and the former CPA	None

3.6.3 The Former CPA's Written Response to the Matters Referred to in Article 10.5(1) and Article 10.5(2). (iii) of the "Criteria Governing Information to be Published in Annual Reports for Bank": None.

3.7 Chairman, President or Managers Responsible for Financial or Accounting Business Assume Positions in the Office of CPA Certified the Bank's Financial Statement or in the Affiliates Thereof in the Latest Year: None.

3.8 Changes in Shareholding of Directors, Supervisors, Managers and Persons who Must Declare their Shares Pursuant to Article 11 of "Regulations Governing a Same Person or Same Concerned Party Holding the Issued Shares with Voting Rights achieve a Particular Ratio of a bank." for FY 2014 and up to Mar. 2015.

3.8.1 Change of Shares

Title	Name	2014.1.1 ~ 2014.12.31		2015.1.1 ~ 2015.3.31	
		Change in Shareholding	Increase (decrease) on Pledged Shares	Change in Shareholding	Increase (decrease) on Pledged Shares
Chairman	Toong-Min Chen	0	0	0	0
Independent Director and Managing Director	Wen-Yuan Lin	0	0	0	0
Managing Director	Ruey-Tsang Lee	0	0	0	0
Managing Director	Li- Ming Hsu	0	0	0	0
Independent Director	Mei-Yueh Ho	0	0	0	0
Independent Director	Tzen-Ping Su	0	0	0	0
Director	Chen-Cheng Chien	0	0	0	0
Director	Richard Lee	0	0	0	0
Director	Chien-Tsai Chao	0	0	0	0
Director	Jueifang P. Chen	2,173	0	0	0
Director	Tsung-kun Lee	934	0	0	0
Director	Chung-Hsin Liu	0	0	0	0
President	Moan-Sheng Huang	1,460	0	0	0
Exec. Vice President	Jin-Ann Wang	3,042	0	0	0
Exec. Vice President and Risk Management Dept., and Research & Development Dept., Vice President and General Manager	Johnson Huang	1,519	0	0	0
Chief Auditor	Chung-Chen Lin	1,735	0	0	0
Counsel and Chief Secretary	Tsui-Mei Hsu	1,609	0	0	0
Counsel	Chun-Ching Ko	3,241	0	0	0
Chief Compliance Officer and Compliance Dept. Vice President and General Manager	Te-Chin Chou	1,169	0	0	0
Business Administration Dept., Vice President and General Manager	Anna Hsu	1,515	0	0	0
Credit Management Dept., Vice President and General Manager	Ting-Lien Lin	2,032	0	0	0

Title	Name	2014.1.1 ~ 2014.12.31		2015.1.1 ~ 2015.3.31	
		Change in Shareholding	Increase (decrease) on Pledged Shares	Change in Shareholding	Increase (decrease) on Pledged Shares
Human Resources Dept., and Executive Administration Dept., Vice President and General Manager	Shiu-Hui Liu	1,530	0	0	0
Treasury Dept., Vice President and General Manager and OBU, General Manager	J.C. Shou-Jen Chen	3,985	0	0	0
Information Technology Dept., Vice President and General Manager	I-Fen Chen	3,283	0	0	0
Municipal Treasury Dept., General Manager	Mei-Ling Chen	2,353	0	0	0
International Banking Dept., General Manager	Shuen-Hua Chen	1,475	0	0	0
Trust Dept., General Manager	Ching-Hui Lin	1,098	0	0	0
Wealth Management Dept., General Manager	Yuan-Chin Shiang	2,837	0	0	0
Business Dept., General Manager	Jung-Tai Chang	1,395	0	0	0
Gangshan Benjhou Branch, General Manager	Ming-Hung Wu	2,246	0	0	0
Feng Shan Branch, General Manager	Tung-Chiang Sung	1,172	0	0	0
Chien Chin Branch, General Manager	Ying-Mien Hsu	1,306	0	0	0
San Min Branch, General Manager	Yi-Chen Yu	1,501	0	0	0
Tso Ying Branch, General Manager	Shu-Z. Chen	(15,062)	0	0	0
Hsiao Kang Branch, General Manager	Hsien-Kuo Chen	1,528	0	0	0
Tao Yuan Branch, General Manager	Chuan-Pin Kao	1,378	0	0	0
Chien Chen Branch, General Manager	Yen-Ching Hsien	1,901	0	0	0
Yu Chang Branch, General Manager	Hueng-Fen Yen	1,628	0	0	0
Wan Nei Branch, General Manager	Shiow-Lien Su	3,121	0	0	0
Pin Tung Branch, General Manager	Chiu-Lan Hsu	1,490	0	0	0
Kwei Lin Branch, General Manager	Chiu-Chin Huang	1,214	0	0	0
Liu Ho Branch, General Manager	Hsien-Cheng Huang	1,115	0	0	0
Nan Tze Branch, General Manager	Wei-Min Wu	1,384	0	0	0
Ku Shan Branch, General Manager	Trey-Shin Lee	2,250	0	0	0

Title	Name	2014.1.1 ~ 2014.12.31		2015.1.1 ~ 2015.3.31	
		Change in Shareholding	Increase (decrease) on Pledged Shares	Change in Shareholding	Increase (decrease) on Pledged Shares
Chien Kuo Branch, General Manager	Tzu-Dan Yen	945	0	0	0
Chiu Ju Branch, General Manager	Hwa-Jen Lee	1,649	0	0	0
Yen Cheng Mini Branch, General Manager	Mei-Chu Chang	1,836	0	0	0
Tsao Ya Branch, General Manager	Ching-Tsan Chen	1,522	0	0	0
Banciao Branch, General Manager	Te-Ching Wan	2,095	0	0	0
Tai Nan Branch, General Manager	Wen-Lueh Su	1,632	0	0	0
San To Branch, General Manager	Wen-Hau Sher	1,435	0	0	0
Da Fa Branch, General Manager	Chia-Hung Chen	786	0	0	0
Ta Chih Branch, General Manager	Don Huang	1,618	0	0	0
Tai Chung Branch, General Manager	Yeh-Shing Wang	1,614	0	0	0
Chi Chin Mini Branch, General Manager	Yao-Huan Lin	1,311	0	0	0
Nan Kaohsiung Branch, General Manager	Shih-Hung Huang	1,038	0	0	0
Dali Branch, General Manager	Yu-Huey Tsao	1,040	0	0	0
Hsin Chu Branch, General Manager	Hsien- Chung Liao	1,386	0	0	0
Shih Fu Branch, General Manager	Pi-Jung Ko	2,476	0	0	0
Chung Ho Branch, General Manager	Chuck Chen	1,686	0	0	0
Taipei Branch, General Manager	Aaron Ting	1,543	0	0	0
Min Cheng Branch, General Manager	Li-Hwa Chen	2,819	0	0	0
Pei Kaohsiung Branch, General Manager	Ming-Ta Yang	1,149	0	0	0
Po Ai Branch, General Manager	Wei-Lin Hsu	967	0	0	0
Corporate shareholders on behalf of the Directors (Note)	Kaohsiung City Government	8,472,253	0	0	0
Corporate shareholders on behalf of the Directors (Note)	Jinn Her Enterprise Co., Ltd.	9,362,749	0	2,019,000	0
Corporate shareholders on behalf of the Directors (Note)	Bank of Kaohsiung Corporate Union	21,192	0	0	0

Note: Major shareholder, who owns more than 1% of the Bank's outstanding shares.

3.8.2 Information of Share Transfer

Name	Reason of Pledged Shares	Date of change	The counterparties of share transfer	Relation of the Transaction Counterparties and Directors, Supervisors of Bank and Persons who Must Declare their Shares Pursuant to Article 11 of "Regulations Governing a Same Person or Same Concerned Party Holding the Issued Shares with Voting Rights achieve a Particular Ratio of a bank."	Number	Amount on Pledged Shares
None	None	None	None	None	None	None

Note: There is no case of share pledges in FY 2014 and up to the Mar. 2015.

3.8.3 Information of Pledged Shares

Name	Reason of Pledged Shares	Date of change	The counterparties of share transfer	Relation of the Transaction Counterparties and Directors, Supervisors of Bank and Persons who Must Declare their Shares Pursuant to Article 11 of "Regulations Governing a Same Person or Same Concerned Party Holding the Issued Shares with Voting Rights achieve a Particular Ratio of a bank."	Number	Amount on Pledged Shares
None	None	None	None	None	None	None

Note: There is no case of share pledges in FY 2014 and up to the Mar. 2015.

3.9 Information Disclosing the Relationship Between Any of the Bank's Top Ten Shareholders as Defined

2015.4.18

Name	Shareholding		Spouse & Minor Shareholding		Shares Held through Other Parties		Names and Relationship of Any of the Top Ten Shareholders being a Related Party as Defined or spouse or relatives within 2nd degree of relationship	
	Shares	%	Shares	%	Shares	%	Name	Relationship
Kaohsiung City Government	334,328,165	45.16	0	0	0	0	None	None
Jinn Her Enterprise Co., Ltd.	37,268,195	5.03	0	0	0	0	Yung-Yu Tsai	Person in charge
Chun-Chin Shih	19,536,424	2.64	16,002,862	2.16	0	0	Mei-Yuan Yu	Spouse
Mei-Yuan Yu	16,002,862	2.16	19,536,424	2.64	0	0	Chun-Chin Shih	Spouse
Yung-Yu Tsai	10,055,200	1.36	4,695,852	0.63	0	0	Xi-Hui Chen Xiong-Tian Tsai	Spouse Son
Foundation Kaohsiung Jinn Her social welfare charity foundations	7,206,000	0.97	0	0	0	0	Yung-Yu Tsai	Chairman
Citigroup (Taiwan) commercial banks entrusted with the custody dimensional assessment of emerging market investment fund accounts	6,214,211	0.84	0	0	0	0	None	None
Chien-Fu Kan	5,000,000	0.68	0	0	0	0	None	None
Xi-Hui Chen	4,695,852	0.63	10,055,200	1.36	0	0	Yung-Yu Tsai Xiong-Tian Tsai	Spouse Son
Xiong-Tian Tsai	4,164,798	0.56	0	0	0	0	Yung-Yu Tsai Xi-Hui Chen	Father Mother

3.10 The Shareholding and Syndicated Shareholding of an Identical Invested Business Held by the Bank, Directors, Supervisors, President, Exec. Vice Presidents, Heads of Departments and Branches and the Bank Directly or Indirectly Governed Business

2015.3.31 Unit：Shares ; %

Name	The Bank's Investments		Investments of Directors, Supervisors, President, Exec. Vice Presidents, Heads of Departments and Branches and the Bank Directly or Indirectly Governed Business		Syndicated Investments	
	Shares	%	Shares	%	Shares	%
Taipei Forex Inc.	700,000	3.53179	—	—	700,000	3.53179
Taiwan Futures Exchange Corporation	869,522	0.30000	—	—	869,522	0.30000
Taiwan Finance Corporation	51,616,500	10.00000	—	—	51,616,500	10.00000
Waterland Securities Co.,Ltd.	44,234,053	4.93684	—	—	44,234,053	4.93684
Taiwan Asset Management Corporation	7,500,000	0.56754	—	—	7,500,000	0.56754
Taiwan Financial Asset Services Co.,Ltd.	5,000,000	2.94118	—	—	5,000,000	2.94118
Kaohsiung Rapid Transit Corporation	643,031	0.23080	—	—	643,031	0.23080
Kaohsiung Bank General Insurance Agency Co.,Ltd.	500,000	100.00000	—	—	500,000	100.00000
Kaohsiung Bank Insurance Agency Co.,Ltd.	300,000	100.00000	—	—	300,000	100.00000
Financial Information Services Co.,Ltd.	5,118,750	1.13750	—	—	5,118,750	1.13750
Taiwan Depository & Clearing Corporation	278,405	0.08298	—	—	278,405	0.08298
Sunny Asset Management Corporation	347	0.00578	—	—	347	0.00578
Taiwan High Speed Rail Corp.	10,800,000	0.10254	—	—	10,800,000	0.10254
iPASS Corporation	4,000,000	5.39084	—	—	4,000,000	5.39084
TWMP Co., Ltd.	300,000	0.54945	—	—	300,000	0.54945